

2635 North First St. Suite 223
San Jose, CA 95134
Tel: 408.382.0480
Fax: 408.382.0481

FACSIMILE COVER SHEET

Date:	August 17, 2004	Fax:	703-746-4060
To:	U.S. Patent and Trademark Office	Phone:	703-308-1202

**Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450**

From:	Rachel V. Leiterman
Serial No.:	10/785,620
Docket:	LUM-03-01-03
Re:	Response to Notice to File Missing Parts
Pages:	20 (including cover sheet)

Message:

Re: Applicant(s): Regina B. Mueller-Mach, Gerd O. Mueller, T. Juestel, W. Busselt, P.J.
Schmidt, W. Mayr
Assignee: Lumileds Lighting, U.S., LLC
Title: Phosphor Converted Light Emitting Device
Serial No.: 10/785,620
Examiner: Not yet assigned
Docket No.: LUM-03-01-03

Filed: February 23, 2004
Group Art Unit: 2879

RECEIVED
AUG 18 2004
OIP/ JCWS

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This fax transmittal (1 page);
- (2) Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate);
- (3) Copy of Monthly Statement of Deposit Account (1 page);
- (4) Declaration for Patent Application and Power of Attorney (12 pages);
- (5) Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages).

The information contained in this message is intended only for the personal and confidential use of the designated recipient(s) named above. This message may be an attorney-client communication and may be protected by the work product doctrine. As such, this document is privileged and confidential. If the reader of this message is not the intended recipient, you are hereby notified that you have received this document in error and that any review, dissemination, distribution, or copying of this message is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and destroy any copies of this document in your possession. Thank you.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Regina B. Mueller-Mach, Gerd O. Mueller, T. Juestel, W. Busselt, P.J. Schmidt, W. Mayr
Assignee: Lumileds Lighting, U.S., LLC
Title: Phosphor Converted Light Emitting Device
Serial No.: 10/785,620 Filing Date: February 23, 2004
Examiner: Not yet assigned Group Art Unit: 2879
Docket No.: LUM-03-01-03

San Jose, California
August 17, 2004

Mail Stop Missing Parts
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION
FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on May 24, 2004, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A declaration signed by the inventor(s) in compliance with 37 CFR 1.63;
2. A copy of the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted (PART 2).

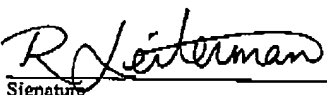
The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 502226:

Patent Law Group LLP
2635 North First St.
Suite 223
San Jose, CA 95134
(408) 382-0480
FAX (408) 382-0481

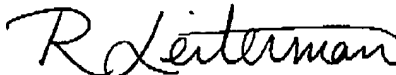
- | | | |
|----|------------------------------------------------------------------------------------------|---------|
| 1. | Surcharge for filing declaration on a date later than the filing date of the application | \$ 0.00 |
| 2. | TOTAL FEES: | \$ 0.00 |

The surcharge for this filing was deducted from our Deposit Account No. 502226 on May 18, 2004 (see attached sheet). The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 502226.

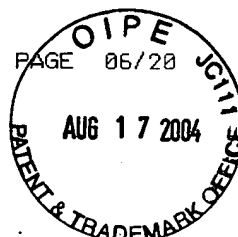
It is hereby respectfully submitted that the enclosed document complete the filing of the above-identified patent application and justify the filing date of February 23, 2004. Please telephone the undersigned at (408) 382-0480, if there are any questions. This form is being submitted in duplicate.

Certification of Facsimile Transmission	
I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Signature	<u>8/17/04</u> Date

Respectfully submitted,



Rachel V. Leiterman
Attorney for Applicant(s)
Reg. No. 46,868



UNITED STATES
PATENT AND
TRADEMARK OFFICE

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion with your check. Make check payable to Director of Patents & Trademarks.

PATENT LAW GROUP LLP
DAVID C. HSIA
2635 NORTH FIRST STREET
SUITE 223
SAN JOSE CA 95134

FINA

Account No.
502226

Date
5-28-04

Page
2

PLEASE SEND REMITTANCES TO:
U. S. Patent and Trademark Office
P.O. Box 70541
Chicago, IL 60673

This change

DATE POSTED MO. DAY YR.	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
5 18 04	49	10785620	LUM-03-01-03	1051	130.00	7041.00
5 18 04	53	10845675	MIC-M109	1001	770.00	6271.00
5 18 04	63	10846182	MIC-M108	1001	770.00	5501.00
5 18 04	64	10846182	MIC-M108	1202	468.00	5033.00
5 18 04	92	10846364	XCE-PO04	2001	385.00	4648.00
5 18 04	93	10846364	XCE-PO04	2202	36.00	4612.00
5 18 04	153	10845809	MIC-M103	1001	770.00	3842.00
5 18 04	154	10845810	MIC-M102	1001	770.00	3072.00
5 19 04	7	10846610	ATR-A-129	1001	770.00	2302.00
5 19 04	8	10846610	ATR-A-129	1202	72.00	2230.00
5 19 04	15	E-REPLENISHMENT		9203	-5891.00	8121.00
5 19 04	16	10846364	XCE-PO04	8021	40.00	8081.00
5 19 04	16	E-REPLENISHMENT		9203	-5330.00	13411.00
5 19 04	17	10846182	MIC-M108	8021	40.00	13371.00
5 19 04	26	10846724	MIC-M104	1001	770.00	12601.00
5 19 04	27	10846724	MIC-M104	1201	86.00	12515.00
5 19 04	28	10846724	MIC-M104	1202	72.00	12443.00
5 19 04	34	10845675	MIC-M109	8021	40.00	12403.00
5 19 04	38	10845810	MIC-M102	8021	40.00	12363.00
5 19 04	46	10845809	MIC-M103	8021	40.00	12323.00
5 19 04	359	PCT/US04/14977	3PD-P105-PCT	1601	300.00	12023.00
5 19 04	360	PCT/US04/14977	3PD-P105-PCT	1602	-1000.00	11023.00
5 19 04	361	PCT/US04/14977	3PD-P105-PCT	1701	1053.00	9970.00
5 19 04	362	PCT/US04/14977	3PD-P105-PCT	1703	36.00	9934.00
5 19 04	363	PCT/US04/14977	3PD-P105-PCT	8007	40.00	9894.00
5 19 04	1169	10801726	MIC-M1095	8021	40.00	9854.00
5 20 04	15	10846724	MIC-M104	8021	40.00	9814.00
5 20 04	24	09823841	M-9728 US	1202	72.00	9742.00
5 20 04	25	09823841	M-9728 US	1806	180.00	9562.00
5 21 04	1	10033349	M-11972US	1402	330.00	9232.00
5 21 04	2	10033349	M-11972US	1253	950.00	8282.00
5 21 04	5	09585266	M-8644 US	2251	55.00	8227.00
5 21 04	6	09585266	M-8644 US	2801	385.00	7842.00
5 24 04	9	09854092	PA1663US	1251	110.00	7732.00
5 25 04	34	09244445	AB-785US	1501	1330.00	6402.00
5 25 04	35	09244445	AB-785US	8001	33.00	6369.00

AN AMOUNT SUFFICIENT TO
COVER ALL SERVICES REQUESTED
MUST ALWAYS BE ON DEPOSIT

OPENING BALANCE

TOTAL CHARGES

TOTAL CREDITS

CLOSING BALANCE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/785,620	02/23/2004	Regina B. Mueller-Mach	LUM-03-01-03

32566
PATENT LAW GROUP LLP
2635 NORTH FIRST STREET
SUITE 223
SAN JOSE, CA 95134

CONFIRMATION NO. 2965

FORMALITIES LETTER



OC000000012751487

Date Mailed: 05/24/2004



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- does not identify the residence (e.g., city and either state or foreign country) of each inventor.
- does not identify the complete mailing or post office address of each inventor.
- does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

OK

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE